



Attorney Docket No.: EMC01-41(00024)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: William D. Slyva, Gerard T. Carraher, Tuan Q. An,  
Jeff Emerson, Timothy F. McCain, Neil J. Thibodeau and  
Kristen D. Martin  
Application No.: 10/036,333  
Filed: December 24, 2001  
Title: METHODS AND APPARATUS FOR ESTABLISHING  
COMMUNICATIONS WITH A DATA STORAGE SYSTEM  
Examiner: Unassigned  
Group Art Unit: 2171

---

Certificate of Mailing Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: **BOX MISSING PARTS**, Assistant Commissioner for Patents, Washington, DC 20231 on:

COPY OF PAPERS  
ORIGINALLY FILED

Date: March 13, 2002

By: Crystal Slason  
(Typed or printed name of person mailing  
Document, whose signature appears below)

Signature: *Crystal Slason*

---

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

-2-

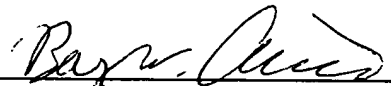
In response to the Notice to File Missing Parts dated February 5, 2002, in connection with the above-identified Application, enclosed herewith is a set of executed Declaration / Power of Attorney forms for filing with said application. Also enclosed with this response is a copy of the set of executed Assignments and an Assignment Recordation Cover Sheet for filing/recordation of the Assignments associated with said application.

A check in the amount of \$1,930.00 is also enclosed to cover payment of the surcharge of \$130 as a large entity, filing fee, additional claim fee, and a \$40.00 Assignment recordation fee. A copy of the Notice to File Missing Parts is also attached hereto.

A general authorization is hereby granted to charge deposit account number 50-0901 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this patent application or for any additional fees that may be due in this matter at this time.

Respectfully submitted,

CHAPIN & HUANG, L.L.C.,



Barry W. Chapin  
Attorney for Applicants  
U.S.P.T.O. Registration No. 39,934  
Chapin & Huang, LLC  
1700 West Park Drive  
Westborough, Massachusetts 01581  
Telephone: 508-366-9600  
Facsimile: 508-616-9805

Attorney Docket No.: EMC01-41(00024)



COPY

Attorney Docket No.: EMC01-41(00024)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: William D. Slyva, Gerard T. Carraher, Tuan Q. An,  
Jeff Emerson, Timothy F. McCain, Neil J. Thibodeau and  
Kristen D. Martin  
Application No.: 10/036,333  
Filed: December 24, 2001  
Title: METHODS AND APPARATUS FOR ESTABLISHING  
COMMUNICATIONS WITH A DATA STORAGE SYSTEM  
Examiner: Unassigned  
Group Art Unit: 2171

COPY OF PAPERS  
ORIGINALLY FILED

**Certificate of Mailing Under 37 C.F.R. 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: **BOX MISSING PARTS**, Assistant Commissioner for Patents, Washington, DC 20231 on:

Date: March 13, 2002

By: Crystal Slason  
(Typed or printed name of person mailing  
Document, whose signature appears below)

Signature: *Crystal Slason*

**TRANSMITTAL LETTER FOR RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

Enclosed herewith are the following:

- 2 -

- [x] Transmittal Letter (this form, 2 Pages) (in duplicate), Total Pages: 4;  
[x] Copy of Notice to File Missing Parts of Application; Total Pages: 2;  
[x] Response to Notice to File Missing Parts of Application; Total Pages: 2;  
[x] Original Executed Declaration and Power of Attorney; Total Pages: 4;  
[x] Recordation Form Cover Sheet, Total Pages: 1;  
[x] Copy of Executed Assignment (1 Assignment); Total Pages: 8;  
[x] Return Receipt Pre-paid Postcard (in duplicate), Total Postcards: 2;  
[x] Authorization to charge Deposit Account No. 50-0901, if required;  
[x] Check in the amount of \$1,930.00 to cover fees calculated as follows:

Surcharge for Notice to File Missing Parts:	\$ 130.00
Application Filing Fee:	740.00
Additional Claim Fee:	1,020.00
Assignment Recordation Fee:	<u>40.00</u>
Total Fees Enclosed:	\$1,930.00

If the enclosed fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 50-0901.

If the enclosed papers or fees are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 366-9600, in Westborough, Massachusetts.

Respectfully submitted,

  
\_\_\_\_\_  
Barry W. Chapin, Esq.  
Attorney for Applicants  
Registration No.: 39,934  
CHAPIN & HUANG, L.L.C.  
Westborough Office Park  
1700 West Park Drive  
Westborough, Massachusetts 01581  
Telephone: (508) 366-9600  
Facsimile: (508) 616-9805

Attorney Docket No.: EMC01-41(00024)

Dated: March 13, 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/036,333	12/24/2001	William D. Slyva	EMC01-41(00024)

CONFIRMATION NO. 3305

## FORMALITIES LETTER



\*OC000000007424665\*

Barry W. Chapin, Esq.  
CHAPIN & HUANG, L.L.C.  
Westborough Office Park  
1700 West Park Drive  
Westborough, MA 01581



COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 02/05/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/27/2002 BNGUYEN1 00000067 10036333

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:103	684.00 OP
03 FC:102	336.00 OP
04 FC:105	130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1020.
  - \$684 for 38 total claims over 20.
  - \$336 for 4 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1890.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

---

*A copy of this notice MUST be returned with the reply.*

DAI MENTUAB-WAILE

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

Chapin & Huang, L.L.C.  
Westborough Office Park  
1700 West Park Drive  
Westborough, Massachusetts 01581

COPY OF PAPERS  
ORIGINALLY FILED

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

